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The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Joseph D. Rigney, Ching-Pang Lee and Ramgopal Darolia
citizens of The United States of America
residing at 1097 Deerhaven Ct., Milford OH 45150, 12 Camargo Pines La., Cincinnati, OH 45243
declare: and 7377 Overland Park Ct., West Chester, OH 45069 (respectively)
That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/714,430 filed in the United States of America on November 13, 2003
titled Method for Repairing Coated Components using NiAl Bond Coats

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by General Electric Company. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of General Electric Company. Other relevant facts are _____
(name of employer)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

That to the best of my (our) knowledge and belief (and/or based upon information provided by _____ of _____:

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

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The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Joseph David Rigney

Post Office Address: 1097 Deerhaven Court, Milford, OH 45150

Date: May 10, 2004

Inventor's Signature: CG 7 Z

Post Office Address: 12 Camargo Pines Lane, Cincinnati, OH 45243

Date: May 11, 2004

OK

Serial No. 10/714,430
Filed: November 13, 2003
Attorney Docket No.: 041A.0005.U1(US)

Continued:

Inventor's Signature: *Lampopal Darol*

Post Office Address: 7377 Overland Park Ct., West Chester, OH 45069

Date: *May 10, 2004*

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
041A.0003.U1(US)

In Re Application Of: **Rigney et al.**

Serial No.
10/714,430

Filing Date
November 13, 2003

Examiner
Not yet assigned

Group Art Unit
1762

Title: **Method for Repairing Coated Components using NiAl Bond Coats**

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Response to Notice from U.S. Patent and Trademark Office mailed 4/21/04.

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in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-1924** as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Christine W. Beninati

Signature

Dated: **5/21/04**

Christine W. Beninati (Reg. No. 37,967)
Harrington & Smith, LLP
4 Research Drive
Shelton, CT 06484-6212
Customer No. 29683
203-925-9400

I certify that this document and fee is being deposited on **5/21/04** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ann Okrentowich

Signature of Person Mailing Correspondence

Ann Okrentowich

Typed or Printed Name of Person Mailing Correspondence

CC:

US ACTION
DUE DATE 6-5-2004
PAPER DATED 4-21-04
OA FINAL
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APPEAL ISSUE FEE
OTHER RESP

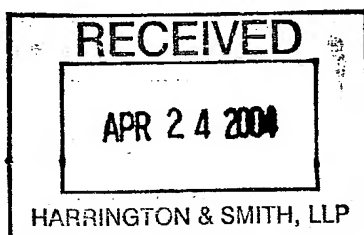


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/714,430	11/13/03	RIGNEY, ET AL.	041A.0005.U1(US)

HARRINGTON & SMITH, LLP
4 RESEARCH DRIVE
SHELTON, CT 06484-6212



EXAMINER	
ART UNIT	PAPER NUMBER
PATENT & TRADEMARK OFFICE	

DATE MAILED: **MAILED**
APR 21 2004

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**IF NO RESPONSE TO THIS NOTICE IS RECEIVED WITHIN FORTY-FIVE DAYS, A
FORMAL REQUIREMENT WILL BE ISSUED**

The subject matter of this application appears to:

- ☐ be "useful in the production or utilization of special nuclear material or atomic energy" as recited in 42 U.S.C. 2182 (Department of Energy (DOE)).
- ☒ "have significant utility in the conduct of aeronautical and space activities" as recited in 42 U.S.C. 2457 (National Aeronautics and Space Administration (NASA)).

Accordingly, no patent can issue on this application unless applicant(s) file a statement (under oath or in the form of a declaration as provided by 37 CFR 1.68) setting forth (1) the full facts concerning the circumstances under which the invention was made and conceived and (2) the relationship (if any) of the invention to the performance of any work under any contract or other arrangement with the Agency(ies) noted above. On the reverse side of this form is an example of an acceptable format for this statement. The language appearing in paragraphs III and/or IV of the example *must* appear if applicant is attempting to establish that no relationship (under item 2 above) exists.

If the invention disclosed in this application was developed under a contract, grant or cooperative agreement between the Agency indicated above and a person, small business or non-profit organization and rights to the invention have been determined by specific reference to 35 U.S.C. 202 in the contract, grant or cooperative agreement, then applicant need not submit the statement described above. Instead, applicant may file a verified statement (under oath or in the form of a declaration, 37 CFR 1.68) setting forth the information required by 35 U.S.C. 202(c)(6).

IF NO STATEMENT HAS BEEN RECEIVED WITHIN FORTY-FIVE DAYS OF THE MAIL DATE INDICATED ABOVE, a formal requirement for statement will then be issued. No provision is made for extension of the statutory thirty-day period for response to the formal requirement and the penalty for failure to file an acceptable and timely statement is abandonment of the application. Therefore, applicants are strongly encouraged to submit a statement at this time in order to avoid the issuance of a formal requirement.

IT IS IMPORTANT TO NOTE that the statement must accurately represent the property rights situation of the claimed invention if and when the application is found allowable. Thus, if during prosecution before the examiner, the claimed invention is so altered or the property rights situation so changed as to impact the accuracy of a statement submitted earlier, a supplemental statement must be filed. Failure to submit such additional information where appropriate may be considered a false representation of material facts and render the patent owner vulnerable to loss of patent rights and other sanctions as set forth in the statutes. The PTO will not review allowed applications for this possibility. The responsibility for complying with the statutes rests with the applicants.

Any questions regarding this requirement should be directed to Licensing and Review at (703) 308-4191.

**PLEASE DIRECT ALL COMMUNICATIONS RELATING TO THIS MATTER TO THE
ATTENTION OF LICENSING AND REVIEW**